



Aston International Limited

PERSONAL QUESTIONNAIRE

To be completed by all individuals who are required to identify themselves according to our procedure for “**Verifying Identity**”. Please complete one form for each party and ensure that all sections are completed in full.

We have the utmost respect for the confidentiality of our clients, but we have an overriding statutory duty towards the prevention of terrorist financing and money laundering which means we are committed to identifying our clients and undertaking thorough client due diligence.

Title (eg Mr/Mrs/Miss/Dr/etc)		Date of Birth (dd/mm/yyyy)	
Gender		Place & Country of Birth	
First Name			
Surname/Family Name			
Previous Name or Other Name Used		Nationality	
Current Residential Address		Correspondence Address (If different from residential address)	
Postal or Zip codes		Postal or Zip codes	
Home Phone Number		Business Phone Number	
Private Fax Number		Business Fax Number	
Private Email Address		Business Email Address	
Mobile Phone Number		Please tick your preferred method for us to communicate with you	
Occupation/Employment Details If retired, please include previous occupation			
Relevant Academic and/or Professional Qualifications			
Relevant Business/Work Experience			
Source of Wealth All company beneficial owners or trust settlors must explain the origins of their wealth and the period over which it was generated			

Please answer the following questions: (please tick)		Yes	No
a)	Has a court or other authority ever disqualified you from acting as a director of a company, or from acting in the management or conduct of affairs of any company, partnership or association or has a court ever removed you as a trustee?		
b)	Is there any outstanding civil litigation against you or any company of which you are an officer?		
c)	Have you, in any capacity, ever been censured, disciplined or publicly criticized by a Court of Law or by any officially appointed enquiry or by any professional or regulatory body or trade association which you have belonged, or been the subject of a regulatory order or direction?		
d)	Have you ever been declared or has a court ever adjudged you bankrupt or have any money judgements been made against you, which have not been satisfied in full?		
e)	Are you a Politically Exposed Person (“PEP”). Do you or any immediate member of your family or any close associate have connections to any person entrusted with prominent public functions as detailed below?		
I.)	A head of state, head of government, minister or deputy or assistant minister.	VII.)	A member of a court of auditors or the board of a central bank.
II.)	A senior government official.	VIII.)	An ambassador, charge d’affaires or other high –ranking officer in a diplomatic service.
III.)	A member of parliament.	IX.)	A high-ranking officer in the armed forces.
IV.)	A senior politician.	X.)	A senior member of an administrative, management or supervisory body of a State owned enterprise
V.)	An important political party official.	XI.)	A senior official of an international entity or organisation.
VI.)	A senior judicial official.		

Please provide details if you have answered “Yes” to any of the questions a) to e) above.

Please refer to our procedure for “Verifying Identity” to determine the personal identification documents that you must send with this completed form.

Signature	
Print Name	
Date (dd/mm/yyyy)	